

STIC Database Tracking Number: 260536

To: VIVEK KOPPIKAR

Location: KNX-5A51

Art Unit: 3626

Monday, May 19, 2008

Case Serial Number: 09/635911

From: CHRISTIAN MINER

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Search Notes

Dear Examiner KOPPIKAR:

Please review the following results.

If you have an questions or need a refocused please feel to contact me.

Christian Miner, MLIS
ASRC Management Services
US Patent & Trademark Office
Scientific & Technical Information Center
Electronic Information Center 3600

~~Non-Patent Literature Abstracts

[File 169] **Insurance Periodicals** 1984-1999/Nov 15

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File 169: This file is closed (no longer updating).*[File 485] **Accounting & Tax DB 1971-2008/Apr W4

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; d s

Set Items Description

S1 139 S (TARGETED OR EXPECTED OR ANTICIPATED OR PROJECTED OR PROJECTION OR PRE() (SET OR DETERMINED OR ESTABLISHED) OR PREDETERMINED OR PRESET OR PREESTABLISHED OR PLANNED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR CASUALT???)

S2 159 S (ACTUAL OR REAL OR TRUE OR ESTABLISHED OR OBSERVED OR DETERMINED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR CASUALT???)

S3 29775 S CASH() SURRENDER() VALUE? ? OR (CASH OR SURRENDER) () VALUE? ? OR (SURRENDER??? OR CASH??? OR REDEMPTION OR REDEEM??? OR MONETARY OR SELL??? OR BUY??? () BACK OR DRAW??? OR TERMINAT??? OR CANCELLATION OR CANCEL? ? CANCELL??? OR NET() AMOUNT) (10N) (INSURANCE OR POLICY? ? OR POLICIES OR COVERAGE)

S4 164689 S (REQUEST??? OR DEMAND??? OR ASK??? OR INQUIR??? OR REQUISITION? ? OR SEEK??? OR SOLICIT??? OR PETITION OR APPEAL??? OR APPLY??? OR CLAIM??? OR GRANT??? OR RECEIV??? OR RETURN???) (5N) (COMPENSAT??? OR PAY??? OR PAYMENT? ? OR PAID OR PAYOUT? ? OR PAY() OUT? ? OR RETURN? ? OR DISTRIBUTION? ? OR REMITTANCE OR CASH OR CHECK OR AMOUNT OR FULFILLING)

S5 290612 S FUND? ? OR RESERVE? ? OR SAVING? ? OR ACCOUNT? ?

S6 24 S S1 AND S2

S7 13 S S6 AND S3

S8 13 RD (unique items)

? logon

*** It is now 2008/05/20 12:23:34 ***
 (Dialog time 2008/05/20 11:23:34)

? b FINBUS, 169,625,637

20may08 10:23:49 User290612 Session D96.1
 \$0.00 0.245 DialUnits File415
 \$0.00 Estimated cost File415
 \$0.06 INTERNET
 \$0.06 Estimated cost this search
 \$0.06 Estimated total session cost 0.245 DialUnits

SYSTEM:OS - DIALOG OneSearch

File 9:Business & Industry(R) Jul/1994-2008/May 19
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 File 15:ABI/Inform(R) 1971-2008/May 19
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 File 18:Gale Group F&S Index(R) 1988-2008/May 14
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 File 20:Dialog Global Reporter 1997-2008/May 20
 (c) 2008 Dialog
 File 148:Gale Group Trade & Industry DB 1976-2008/May 01
 (c) 2008 The Gale Group
 *File 148: The CURRENT feature is not working in File 148.
 See HELP NEWS148.
 File 160:Gale Group PROMT(R) 1972-1989
 (c) 1999 The Gale Group
 File 267:Finance & Banking Newsletters 2008/May 12
 (c) 2008 Dialog
 File 268:Banking Info Source 1981-2008/May W1
 (c) 2008 ProQuest Info&Learning
 File 475:Wall Street Journal Abs 1973-2008/May 19
 (c) 2008 The New York Times
 File 485:Accounting & Tax DB 1971-2008/May W1
 (c) 2008 ProQuest Info&Learning
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
 (c) 2002 The Gale Group
 *File 583: This file is no longer updating as of 12-13-2002.
 File 621:Gale Group New Prod. Annou. (R) 1985-2008/May 01
 (c) 2008 The Gale Group
 File 623:Business Week 1985-2008/May 20
 (c) 2008 The McGraw-Hill Companies Inc
 File 624:McGraw-Hill Publications 1985-2008/May 20
 (c) 2008 McGraw-Hill Co. Inc
 *File 624: Homeland Security & Defense and 9 Platt energy journals added
 Please see HELP NEWS624 for more
 File 625:American Banker Publications 1981-2008/May 16
 (c) 2008 American Banker
 File 626:Bond Buyer Full Text 1981-2008/May 16
 (c) 2008 Bond Buyer
 File 635:Business Dateline(R) 1985-2008/May 09

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 File 636:Gale Group Newsletter DB(TM) 1987-2008/May 15
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 File 169:Insurance Periodicals 1984-1999/Nov 15
 (c) 1999 NILES Publishing Co.
 *File 169: This file is closed (no longer updating).
 File 637:Journal of Commerce 1986-2008/Jul 09
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Set	Items	Description
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? s mortality (2n) reserve (10n) life (3n) insurance (10n) (keep) (2n) whole

Processing

	189039	MORTALITY
	2381721	RESERVE
	9316900	LIFE
	8138957	INSURANCE
	6326767	KEEP
	4157357	WHOLE
S1	0	MORTALITY (2N) RESERVE (10N) LIFE (3N) INSURANCE (10N) (KEEP) (2N) WHOLE

? s (mortality (2n) reserve) and insurance

Processing

	189039	MORTALITY
	2381721	RESERVE
	138	MORTALITY (2N) RESERVE
	8138957	INSURANCE
S2	134	(MORTALITY (2N) RESERVE) AND INSURANCE

? s s2 and (((target or actual or reality or goal or benchmark) (10n) (mortality) (10n) reserve)

Processing

Processing

Processing

Processed 10 of 21 files ...

Completed processing all files

	134	S2
	4657143	TARGET
	5847606	ACTUAL
	1583335	REALITY
	4017759	GOAL
	849929	BENCHMARK
	189039	MORTALITY
	2381721	RESERVE
	21	((((TARGET OR ACTUAL) OR REALITY) OR GOAL) OR BENCHMARK) (10N) MORTALITY (10N) RESERVE

COMPLETE SEARCH
~~Patent Literature

[File 348] EUROPEAN PATENTS 1978-2007/ 200818
(c) 2008 European Patent Office. All rights reserved.

[File 349] PCT FULLTEXT 1979-2008/UB=20080508|UT=20080501
(c) 2008 WIPO/Thomson. All rights reserved.

[File 350] Derwent WPIX 1963-2008/UD=200830
(c) 2008 The Thomson Corporation. All rights reserved.

[File 347] JAPIO Dec 1976-2007/Dec(Updated 080328)
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Set	Items	Description
S1	451	S (TARGETED OR EXPECTED OR ANTICIPATED OR PROJECTED OR PROJECTION OR PRE() (SET OR DETERMINED OR ESTABLISHED) OR PREDETERMINED OR PRESET OR PREESTABLISHED OR PLANNED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR CASUALT???)
S2	1115	S (ACTUAL OR REAL OR TRUE OR ESTABLISHED OR OBSERVED OR DETERMINED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR CASUALT???)
S3	4976	S CASH() SURRENDER() VALUE? ? OR (CASH OR SURRENDER) () VALUE? ? OR (SURRENDER??? OR CASH??? OR REDEMPTION OR REDEEM??? OR MONETARY OR SELL??? OR BUY??? () BACK OR DRAW??? OR TERMINAT??? OR CANCELLATION OR CANCEL? ? CANCELL??? OR NET() AMOUNT) (10N) (INSURANCE OR POLICY? ? OR POLICIES OR COVERAGE)
S4	946474	S (REQUEST??? OR DEMAND??? OR ASK??? OR INQUIR??? OR REQUISITION? ? OR SEEK??? OR SOLICIT??? OR PETITION OR APPEAL??? OR APPLY??? OR CLAIM??? OR GRANT??? OR RECEIV??? OR RETURN???) (5N) (COMPENSAT??? OR PAY??? OR PAYMENT? ? OR PAID OR PAYOUT? ? OR PAY() OUT? ? OR RETURN? ? OR DISTRIBUTION? ? OR REMITTANCE OR CASH OR CHECK OR AMOUNT OR FULFILLING)
S5	804980	S FUND? ? OR RESERVE? ? OR SAVING? ? OR ACCOUNT? ?
S6	48	S S1 (S) S2
S7	5	S S6 (S) S3
S8	710	S S3 (S) S4
S9	10	S S8 (S) (S1 OR S2)
S10	6	S S9 NOT S7

~~Non-Patent Literature Full-Text

[File 9] **Business & Industry(R)** Jul/1994-2008/May 09

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[File 275] **Gale Group Computer DB(TM)** 1983-2008/May 07

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[File 621] **Gale Group New Prod.Annou.(R)** 1985-2008/Apr 25

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[File 636] **Gale Group Newsletter DB(TM)** 1987-2008/May 07

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[File 160] **Gale Group PROMT(R)** 1972-1989

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[File 148] **Gale Group Trade & Industry DB** 1976-2008/Apr 24

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[File 20] **Dialog Global Reporter** 1997-2008/May 13

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[File 15] **ABI/Inform(R)** 1971-2008/May 12

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[File 610] **Business Wire** 1999-2008/May 13

(c) 2008 Business Wire. All rights reserved.

**File 610: File 610 now contains data from 3/99 forward. Archive data (1986-2/99) is available in File 810.*

[File 810] **Business Wire** 1986-1999/Feb 28

(c) 1999 Business Wire . All rights reserved.

[File 613] **PR Newswire** 1999-2008/May 13

(c) 2008 PR Newswire Association Inc. All rights reserved.

**File 613: File 613 now contains data from 5/99 forward. Archive data (1987-4/99) is available in File 813.*

[File 813] **PR Newswire** 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc. All rights reserved.

[File 634] **San Jose Mercury** Jun 1985-2008/May 08

(c) 2008 San Jose Mercury News. All rights reserved.

[File 624] **McGraw-Hill Publications** 1985-2008/May 12

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**File 624: Homeland Security & Defense and 9 Platt energy journals added Please see HELP NEWS624 for more*

[File 625] **American Banker Publications** 1981-2008/May 09
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[File 637] **Journal of Commerce** 1986-2008/Jul 01
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Set      Items  Description
S1      22677379  S FUND? ? OR RESERVE? ? OR SAVING? ? OR ACCOUNT?
?
S2              30  S (TARGETED OR EXPECTED OR ANTICIPATED OR
PROJECTED OR PROJECTION OR PRE() (SET OR DETERMINED OR
ESTABLISHED) OR PREDETERMINED OR PRESET OR PREESTABLISHED OR
PLANNED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR
CASUALT???) (5W) S1
S3              41  S (ACTUAL OR REAL OR TRUE OR ESTABLISHED OR
OBSERVED OR DETERMINED) (2W) (MORTALIT??? OR DEATH? ? OR
FATALIT??? OR CASUALT???) (5W) S1
S4      2034789  S CASH() SURRENDER() VALUE? ? OR (CASH OR
SURRENDER)() VALUE? ? OR (SURRENDER??? OR CASH??? OR REDEMPTION
OR REDEEM??? OR MONETARY OR SELL??? OR BUY???() BACK OR DRAW???
OR TERMINAT??? OR CANCELLATION OR CANCEL? ? CANCELL??? OR
NET() AMOUNT) (10N) (INSURANCE OR POLICY? ? OR POLICIES OR
COVERAGE)
S5      9607359  S (REQUEST??? OR DEMAND??? OR ASK??? OR
INQUIR??? OR REQUISITION? ? OR SEEK??? OR SOLICIT??? OR PETITION
OR APPEAL??? OR APPLY??? OR CLAIM??? OR GRANT??? OR RECEIV??? OR
RETURN???) (5N) (COMPENSAT??? OR PAY??? OR PAYMENT? ? OR PAID OR
PAYOUT? ? OR PAY() OUT? ? OR RETURN? ? OR DISTRIBUTION? ? OR
REMITTANCE OR CASH OR CHECK OR AMOUNT OR FULFILLING)
S6              1  S S2 (S) S3
S7      5958     S (TARGETED OR EXPECTED OR ANTICIPATED OR
PROJECTED OR PROJECTION OR PRE() (SET OR DETERMINED OR
ESTABLISHED) OR PREDETERMINED OR PRESET OR PREESTABLISHED OR
PLANNED) (2W) (MORTALIT??? OR DEATH? ? OR FATALIT??? OR
CASUALT???)
S8      10384    S (ACTUAL OR REAL OR TRUE OR ESTABLISHED OR
OBSERVED OR DETERMINED) (2W) (MORTALIT??? OR DEATH? ? OR
FATALIT??? OR CASUALT???)
S9              513  S S7 (S) S8
S10             8   S S9 (S) S4
S11             6   RD (unique items)
S12      62290   S S4 (S) S5
S13      130     S S12 AND S7
S14      27      S S13 AND S8
S15      23      S S14 NOT S11

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S16	15	RD (unique items)
S17	109	S S1 AND S5 AND S7 AND S8
S18	89	S S17 NOT (S11 OR S16)
S19	76	RD (unique items)
S20	26	S S19 NOT PY>2001